

50  
JCWSCS 2 2 JAN 2004

JAN 22 2004 14:52 FR GE CORPORATE R/D

518 387 6110 TO 817037469195

P.01/04

FACSIMILE LEAD SHEET

FROM: GE GLOBAL RESEARCH  
Patent & Legal Operation  
PO Box 8 Bldg. K1 4A59  
Schenectady, New York 12301

Office of Initial Patent Examination's Filing Receipt Corrections  
**FILING RECEIPTS CORRECTION**

**Confidentiality Note:**

This document is intended only for the use of the person to whom it is addressed; the document may contain information that is privileged, confidential and exempt from disclosure under applicable law. If the reader of this message is not the intended recipient, or the employee or agent responsible for delivering it to the intended recipient, please immediately notify us by email, and return the original message to us at the above address without any dissemination, distribution or copying of this communication.

Thank you

DATE: 1/22/04

TO: Filing Receipts Corrections

FROM: Sandra Preisman

RE: SN 10/647890  
128394

EMAIL: [Preisman@crd.ge.com](mailto:Preisman@crd.ge.com)

FAX: (518)387-6110

**COMMENTS:**

---

Please change the filing date from 8/26/2003 to 8/25/2003.  
Attached please find the filing receipt and a copy of the receipt from the  
Post office with the express mail date stamped 8/25/2003.

---

Thank you

---



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

| APPL NO.   | FILING OR 371<br>(c) DATE | ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLMS | IND CLMS |
|------------|---------------------------|----------|---------------|-----------------|----------|----------|----------|
| 10/647,890 | 08/26/2003                | 1626     | 1818          | 128394-1        |          | 70       | 5        |

General Electric Company  
 CRD Patent Docket Rm 4A59  
 Bldg. K-1  
 P.O. Box 8  
 Schenectady, NY 12301

CONFIRMATION NO. 3565

## FILING RECEIPT

\*OC000000011300385\*

Date Mailed: 11/18/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Thomas Link Guggenheim, Mt. Vernon, IN;  
 Daniel Joseph Brunelle, Burnt Hills, NY;  
 David Winfield Woodruff, Clifton Park, NY;  
 Lee Harris Bergman, Houston, TX;  
 Norman Enoch Johnson, Mt. Vernon, IN;  
 Matthew Hal Littlejohn, Green Island, NY;  
 Farid Fouad Khouri, Clifton Park, NY;

## Assignment For Published Patent Application

General Electric Company;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 11/18/2003

Projected Publication Date: 03/03/2005

Non-Publication Request: No

Early Publication Request: No

**Title**

Method for making salts hydroxy-substituted hydrocarbons

**Preliminary Class**

548

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



# POST OFFICE TO ADDRESSEE



EL 807645926 US

UNITED STATES POSTAL SERVICE®

ORIGIN (POSTAL USE ONLY)

|   |  |  |
|---|--|--|
| PO Zip Code<br>12301  | Day of Delivery<br>First <input checked="" type="checkbox"/> Second <input type="checkbox"/>       | Flat Rate Envelope<br><input type="checkbox"/> |
| Date In<br>82503  | Time In<br>12 Noon <input checked="" type="checkbox"/> 3 PM <input type="checkbox"/>               | Postage<br>\$ 4.18                             |
| Weight<br>4.0 lbs   | 1st Day <input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day <input type="checkbox"/> | Return Receipt Fee                             |
| Int'l Alpha Country Code  | COD Fee  | Insurance Fee                                  |
| No Delivery<br><input type="checkbox"/> Weekend <input type="checkbox"/> Holiday <input type="checkbox"/> | Acceptance Clerk Initials<br>EP  | Total Postage & Fees<br>\$ 4.18                |



SEE REVERSE SIDE FOR  
SERVICE GUARANTEE AND LIMITS  
ON INSURANCE COVERAGE

CUSTOMER REFERENCE NUMBER  
METHOD OF PAYMENT: X123051  
Domestic Mail Corporate Acct. No.

Federal Agency Acct. No. or  
Postal Service Acct. No.

FROM: (PLEASE PRINT) PHONE  
GENERAL ELECTRIC COMPANY  
CRD, PATENT DOCKET  
RM 4A59 BLDG K-1  
PO BOX 8  
SCHENECTADY NY 12301-0008  
124113-1, 122897-1, 128394-1

TO: (PLEASE PRINT) PHONE  
U.S. Patent and Trademark Office  
2011 South Clark Place  
Customer Window, Mail Stop Patent Application  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, VA 22202

FOR PICKUP OR TRACKING CALL 1-800-222-1811 WWW.USPS.COM



Customer Copy  
Label 11-F August 2000

FOR  
11-F

007